

OPERATIONAL RISK REGISTER

June 2016



Chief Executive's Unit - Mark Brookes

CE_R01 Failure to deliver successful elections

Category: Reputational	Corporate Priority: Dacorum Delivers		Risk Owner: Mark Brookes	Portfolio Holder: Cllr Neil Harden	Tolerance: Treating
Inherent Probability	Inherent Impact	Inherent Risk Score	Residual Probability	Residual Impact	Residual Risk Score
4 Very Likely	4 Severe	16 Red	1 Very Unlikely	4 Severe	4 Green
Consequences		Current Controls		Assurance	
Legal sanction and re-run of election at DBC expense. Loss of faith in elections process. Intense scrutiny on future referenda Potential ‘Failed service’ designation from Electoral Commission. Personal Financial liability for Returning Officer. Invalid or unsubstantiated election Results. Dissatisfaction of key stakeholders with the borough council elections and the process for future referenda.		- Election Preparation Plan and Risk Assessment - Establish Election Team, allocate resources, audit and prepare equipment - Oversee actual Election - Check Results - Conclude election paperwork - Clear and Store election equipment		The Parliamentary, Borough and Parish/Town Council elections held in May 2015 and the PCC election (May 2016) and EU Referendum (June 2016) were conducted successfully which demonstrates the effectiveness of a comprehensive action plan which is monitored and updated every two weeks by the Chief Executive, as the Returning Officer, the Solicitor to the Council and the Group Manager (Democratic Services) as the two Deputy Returning Officers.	
Sign Off and Comments					
Sign Off Complete					
The success of the PCC election and the EU Referendum showed that the current procedures and controls are working well.					